

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-003

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/756,898	
	Filing Date	January 14, 2004	
	First Named Inventor	Jeffrey K. Daniel	
	Art Unit	3679	
	Examiner Name		
Total Number of Pages in This Submission	227	Attorney Docket Number	123018.0008.000

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO FORM 1449, including 7 cited references; Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

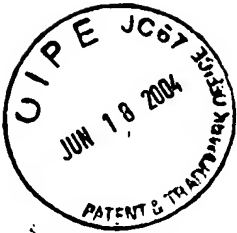
Firm or Individual name	Mark A. Tidwell, Reg. No. 37,456 JACKSON WALKER L.L.P., 112 E. Pecan Street, Suite 2100, San Antonio, Texas 78205
Signature	
Date	June 14, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Renee Treider		
Signature		Date	June 14, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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P-123018.0008.000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of: §
Jeffrey K. Daniel §
Serial No. 10/756,898 § Art Unit: 3679
Filing Date: January 14, 2004 §
Title: INTERNAL MECHANICAL WET CLUTCH §
FOR AGRICULTURAL DRIVE SYSTEMS §

Assistant Commissioner for Patents
Washington, D.C. 20231

Information Disclosure Statement

1. Pursuant to 37 C.F.R. §§ 1.97-1.99, Applicant hereby submits reference of which he or she is aware that may be material to the examination of this application, and of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. The filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b), nor shall the filing of this Information Disclosure Statement be construed as a representation that a search has been made.
2. The references are listed on the accompanying Forms PTO-1449, and a copy of each reference is provided herewith.
3. This Information Disclosure Statement:
☐ Accompanies a new patent application submitted herewith.
☐ Is being filed within 3 months after the filing date of the application.
☒ Before the mailing date of a first Office Action on the merits.
☐ After each of the above, but before the mailing date of either a final action or Notice of Allowance and is accompanied by a:

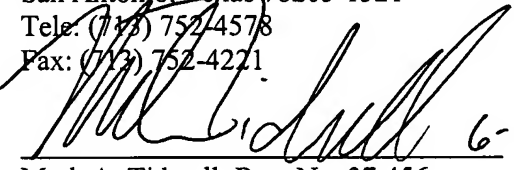
☐ Certification, or
☐ 240 fee (37 C.F.R. § 1.17(p)).

☐ After all of the above, but before payment of the Issue Fee. The Statement is accompanied by a certification and a petition requesting consideration of the Statement and a petition fee of \$130 (37 C.F.R. § 1.17(i)(1)).

4. The U.S. Patent and Trademark Office is hereby authorized to charge any fees, if any, or discrepancies in fees required, to Deposit Account 10-0096.
5. The foregoing references are, in the opinion of undersigned counsel based on information supplied by the inventor, the most pertinent prior art of which undersigned counsel or Applicant has knowledge.
6. Undersigned counsel hereby requests a telephone conference with the Examiner if there are any questions. It is respectfully requested that the references be considered by the Examiner, be made a part of the official record, and be cited in the issued patent.

Respectfully submitted,

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San Antonio, Texas 78205-1521
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Mark A. Tidwell, Reg. No. 37,456

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited on the date shown below with the United States Postal Service in an envelope addressed to the "Commissioner of Trademarks, 2900 Crystal Drive, Arlington, Virginia 22202-3513", as follows:

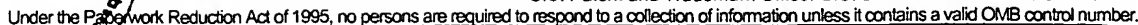
<u>37 CFR 1.8(a)</u>	<u>37 CFR 1.10</u>
<input checked="" type="checkbox"/> With sufficient postage as First Class Mail.	<input type="checkbox"/> As "Express Mail Post Office to Addressee", Mailing Label No. _____
Date: <u>June</u> <u>14</u> , 2004.	Date: _____, 2004.

Renee Treider

Printed Name of Person Mailing Paper or Fee



Signature of Person Mailing Paper or Fee



Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for Form 9449A/PTO

Complete if Known

(use as many sheets as necessary)

Sheet	1	of	2
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Application Number	10/756,898
Filing Date	January 14, 2004
First Named Inventor	Jeffrey K. Daniel
Group Art Unit	3679
Examiner Name	
Attorney Docket Number	123018.0008.000

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 1,016,427	02/06/1912	Alfred B. Morse	
		US- 1,248,109	11/27/1917	William A. Heaney	
		US- 1,436,753	11/28/1922	Eugene R. Carpenter	
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		US- 3,366,210	01/30/1968	Robert M. Webster	
		US- 3,948,370	04/06/1976	Horst Ernst, et al.	
		US- 3,981,382	09/21,1976	Edwin Bolliger	
		US- 4,026,400	05/31/1977	Dennis George Rawlings	
		US- 4,027,758	06/09/1977	Vame Axel Gerhard Gustavsson, et al.	
		US- 4,044,533	08/30/1977	Gerald H. Wick	
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		US- 4,058,957	11/22/1977	Ward A. Roseberry	
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		US- 4,427,278	01/24/1984	Tokuji Ishida, et al.	
		US- 4,455,876	05/01/1984	Hubert G. Entrup	
		US- 4,464,137	08/07/1984	Richard E. Jennings	
		US- 4,488,626	12/18/1984	Kenneth E. Handke	

[illegible]Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	2	of	2
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Complete if Known

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Group Art Unit	3679
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Examiner Name

Attorney Docket Number	123018.0008.000
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U.S. PATENT DOCUMENTS

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